

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
57622-048 (ELZ-8)

In Re Application Of: Lucas Merrow, et al.

JUL 15 2004

Application No. 09/845,282	Filing Date August 31, 2001	Examiner Elahee, MD S	Customer No. 23630	Group Art Unit 2697	Confirmation No.
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Invention: **SPEECH RECOGNITION METHOD AND SYSTEM FOR DETERMINING THE STATUS OF AN  
ANSWERED TELEPHONE DURING THE COURSE OF AN OUTBOUND TELEPHONE CALL**

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JUL 19 2004

COMMISSIONER FOR PATENTS:

Technology Center 2600

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

07/16/2004 HALI11 00000075 09945282  
01 FC:1806 180.00 DP

  
Signature

Dated: July 13, 2004

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Cynthia Joseph

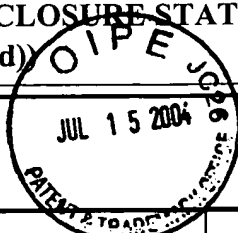
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(d))

Docket No.  
57622-048 (ELZ-8)

In Re Application Of: Lucas Merrow, et al.



Application No. 09/845,282	Filing Date August 31, 2001	Examiner Elahee, MD S	Customer No. 23630	Group Art Unit 2697	Confirmation No.
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Title: Speech Recognition Method And System For Determining The Status Of An Answered Telephone During  
The Course Of An Outbound Telephone Call

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The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

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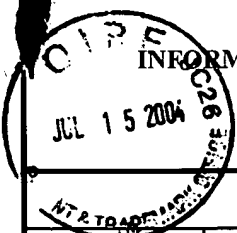
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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

57622-048 (ELZ-8)

Application Number

09/845,282

Applicant(s)

Lucas Merrow, et al.

Filing Date

August 31, 2001

Group Art Unit

2697

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,638,424	06-10-97	Denio, et al.	379	67	

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## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 98/52340	11-19-98	PCT				
		WO 92/08309	05-14-92	PCT				

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

		Hunt, M. J., et al., Survey of the State of the Art in Human Language Technology, National Science Foundation Directorate XIII-E of the Commission of the European Communities Center for Spoken Language Understanding, Oregon Graduate Institute, November 21, 1995, pp. 11-69.
		Cox, R. V., et al., Speech and Language Processing for Next-Millennium Communications Services, Proceedings of the IEEE, Vol. 88, No. 8, August 2000, pp. 1314-1337.

EXAMINER

DATE CONSIDERED

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**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

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INITIALOTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

Zue, V. W., et al., Conversational Interfaces: Advances and Challenges, Proceedings of the IEEE, Vol. 88, No. 8, August 2000, pp. 1166-1180.

Foster, P., et al., Speech Recognition, The Complete Practical Reference Guide, ISBN 0-936648-39-2, August 1993, pp. 1, 38-39.

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